 TAP	W

(PE.		
out or m	K OFFILE	TRANSMITTAL FORM
PATENT & THE	(to be us	sed for all correspondence after initial filing)

		P10/58/21 (04-04)
Application Number	10/796,423	
Filing Date	March 8, 2004	
First Named Inventor	Brown, Wendell D.	
Art Unit	Unassigned	
Examiner Name .	Unassigned	
Attorney Docket Number	022195-1.00US	

ENCLOSURES (Check all that apply) Fee Transmittal Form	Tota	al Number of	Pages in T	his Submission	13		022193-1.00	700	
Fee Transmittal Form Fee Attached Fee Attach						41.4411774			
Fee Attached					EN	CLOSURES (Check all that	apply)		- -
Gratified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Deposit Signature Signature September 29, 2004 Septe	\boxtimes	Fee Trans	mittal Fo	m	\boxtimes	Drawing(s)	<u> </u>	o Technolo	ogy Center (TC)
Amendment/Repty After Final After Final After Final Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Signature Firm Or Individual name Signature September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Provisional Application Charge of Correspondence Address The Correspon		Fe	e Attach	ed		Licensing-related Papers	ᅵᆜ ▫	of Appeals	and Interferences
Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Certified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Kenneth R. Allen Reg. No. 27,301 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley						Appeal Noti	ce, Brief, Reply Brief)		
Change of Correspondence Address Change of Correspondence (Individual Application Correspondence (Individual Application Change of Correspondence (Individual Applica		\exists		o elevation (a)		• •			
Express Abandonment Request Information Disclosure Statement Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Individual name Signature Date September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004		_		• •		Change of Correspondence Addre	:55		
Information Disclosure Statement		Extension	of time i	Request		Terminal Disclaimer	1	•	•
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Pate September 29, 2004 Date September 29, 2004 Date September 29, 2004 Date September 29, 2004 Date September 29, 2004		Express A	bandonm	ent Request		Request for Refund	Nonp	rovisional	Application (2 pp);
Account 20-1430. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley		Information	n Disclos	ure Statement		CD, Number of CD(s)	1 '	•	
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Firm Kenneth R. Allen Signature Date September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley				riority	Rem		thorized to cha	rge any ac	dditional fees to Deposit
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley		Resnonse	to Missin	in Parts/					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Kenneth R. Allen Reg. No. 27,301 Signature September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley									
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Kenneth R. Allen Reg. No. 27,301 Signature Level Level Level September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley						·			
Firm or Individual name Kenneth R. Allen Reg. No. 27,301 Signature Date September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley		un	uer 37 Ci	FK 1.52 01 1.55					
Firm or Individual name Kenneth R. Allen Reg. No. 27,301 Signature September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley				SIGNA	THE	OF ADDI ICANT ATTORNE	V OP AGE	NT	
or Individual name Kenneth R. Allen Reg. No. 27,301 Signature September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004	Firm		T				II, OR AGE	1	
Signature Date September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004	ог				sena ar				•
Date September 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004			Kenne	th R. Allen	1 4	Reg.	No. 27,301		
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004	Signatu	иге	Ken	mette K.	All	en			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004	Date		Septe	mber 29, 2004					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Diane Hawley Date September 29, 2004				С	ERTIF	CATE OF TRANSMISSION	/MAILING		
Typed or printed name Diane Hawley Date September 29, 2004									
Date September 29, 2004									
Signature Date September 29, 2004	Typed	or printed r	name	Diane Hawley		. /			
the maneur promise	Signat	ture		()ian	i	Haviley		Date	September 29, 2004

OIPE SOLE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL	AMOUNT	OF	PAYMENT	(\$)	130

Complete if Known Application Number 10/796,423 Filing Date March 8, 2004 First Named Inventor Brown, Wendell D. Examiner Name Unassigned Art Unit Unassigned Attorney Docket No. 022195-1.00US

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
Check Credit Card Money Order Other None	3. ADD	ITIONAL I	FEES I			
Deposit Account:	Large	Entity	Small	Entity		
Deposit Account 20-1430	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number 20-1430	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name	1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid						
Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
OUDTOTAL (4)	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1)	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from	1502	480	2502	240	Design issue fee	
Extra Claims below Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims -** = X =	1460	130	1460	130	Petitions to the Commissioner	130
Independent	1807	50	1807	50	Petitions related to provisional applications	
Claims -** = X = Multiple	1806	180	1806	180	Submission of Information Disclosure Stmt	
DependentF	8021	40	8021	40	Recording each patent assignment per	
Foo Foo Foo					property (times number of properties)	
Code (\$) Code (\$)	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be	
1203 290 2203 145 Multiple dependent claim, if not paid					examined (37 CFR § 1.129(b))	
1204 86 2204 43 *** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)	Other fe	e (specify)			***************************************	
**or number previously paid, if greater; For Reissues, see above					-	ا ہے
,	*Reduce	ed by Basic	Filing F	ee Paid	SUBTOTAL (3) (\$)130	

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Kenneth R. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400	
Signature Kenneth R. Aller				Date	September 29, 2004	

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Do Aloxandra, Virginia 22313-1450 www.uspto.gov

FILING OR 371 (c) DATE APPLICATION NUMBER

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/796,423

03/08/2004

Wendell D. Brown

022195-1.00US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 1877 FORMALITIES LETTER

OC000000013870601

Date Mailed: 09/23/2004

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

• The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

10/05/2004 WARDELR1 00000020 201430 10796423

01 FC:1460

130.00 DA

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE